



1-2W  
PATENT APPLICATION  
Docket No: 15568.15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John Derek Guest

Serial No. 10/660,099 ) Art Unit  
Filing Date: September 11, 2003 ) 3679  
Confirmation No.: 6876 )  
For: IMPROVEMENT IN OR RELATING TO TUBE )  
COUPLINGS )

CERTIFICATE OF DEPOSIT UNDER 37 CFR § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

- Transmittal for Second Supplemental Information Disclosure Statement (3 pages)
- Second Supplemental Information Disclosure Statement (2 pages)
- Form PTO-1449 listing 8 references (2 pages)
- A copy of one of the references list on the Form PTO-1449
- Postcard

Dated this 6<sup>th</sup> day of January, 2005.

Respectfully submitted,

DANA L. TANGREN  
Attorney for Applicant  
Registration No. 37,246  
Customer No. 022913  
Telephone: (801) 533-9800  
Facsimile: (801) 328-1707

RICK D. NELSON, JR.  
BRIAN P. HOWARD  
THOMAS K. JENSEN  
LARRY R. LEVINS  
JONATHAN W. R. LEE  
DWAYNE R. WILSON  
JOHN C. SINGER, JR.  
JOHN M. GANN  
CHARLES L. ROBERTS  
DANIEL T. CANNON  
ERIC L. MASTERS  
CHARLES J. VEVERSKY  
ROBYN L. PRESTON  
RICHARD C. GRIMMER  
STEPHEN A. BURTON  
R. BURNS INGRAM, JR.  
DAVID R. TODD  
GREGORY M. TAYLOR  
DAVID B. DITLENBACH  
L. DAWN GREEN  
ADRIAN J. LEE  
FRANCIS D. ROW  
CARL T. REED



# WORKMAN | NYDEGGER

INTELLECTUAL PROPERTY ATTORNEYS



1000 EAGLE GATE TOWER  
60 EAST SOUTH TEMPLE  
SALT LAKE CITY, UTAH 84111  
TELEPHONE: (801) 533-9800  
FAX: (801) 328-1707  
WEBSITE: [HTTP://WWW.WNLAW.COM](http://www.wnlaw.com)

PATENT APPLICATION  
Docket No: 15568.15

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Serial No.	10/660,099	) Art Unit 3679
Filing Date:	September 11, 2003	)
Confirmation No.:	6876	)
For:	IMPROVEMENT IN OR RELATING TO TUBE COUPLINGS	)

### TRANSMITTAL FOR SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is a Second Supplemental Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

- Statement of relevance of selected cited references not in the English language which are not translated.
- Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.
- Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

VERNON R. ROBERTS  
Admitted only in California

**A. Additional Materials Required Due to Content of Information Disclosure Statement**

Transmitted are the following documents in addition to the Second Supplemental Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

- Form PTO-1449 listing 8 references submitted for consideration.
- A copy of the one (1) foreign reference listed on the Form PTO-1449.
- English translations of one (1) of the references listed on the Form PTO-1449 which are not in the English language.
- Copies of the following documents from the prosecution of a previous, related application:
  - Form PTO-1449 AND INFORMATION DISCLOSURE STATEMENT;
  - and
  - Form PTO-892

**B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement**

The transmitted Second Supplemental Information Disclosure Statement is being filed within one (1) of the following four (4) time periods:

- I.  Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.
- II.  Following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed:
  - Promptness Certification; or
  - Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_ constituting the submission fee set forth in 37 C.F.R. § 1.17(p).
- III.  After the mailing of a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:
  - Promptness Certificate;
  - Petition for Consideration; and

---

- Check No. in the amount of \$ \_\_\_\_\_ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).
- IV. — After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:
  - Petition to Withdraw from Issue; and
  - Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

C. Fees

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.

- Any fee required in relation to filing of this letter or any documents transmitted therewith.
- The submission fee set forth in 37 C.F.R. § 1.17(p) in the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).
- The submission fee set forth in 37 C.F.R. § 1.17(p).
- The petition fee set forth in 37 C.F.R. § 1.17(i)(1).

Dated this 6<sup>th</sup> day of January, 2005.

Respectfully submitted,



DANA L. TANGREN  
Attorney for Applicant  
Registration No. 37,246  
Customer No. 022913  
Telephone No. 801.533.9800



PATENT APPLICATION  
Docket No: 15568.15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John Derek Guest

Serial No.	10/660,099	) Art Unit
		) 3679
Filing Date:	September 11, 2003	)
Confirmation No.:	6876	)
For:	IMPROVEMENT IN OR RELATING TO TUBE COUPLINGS	)

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed listed references are disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Dated this 6<sup>th</sup> day of January, 2005.

Respectfully submitted,



Dana L. Tangren  
Attorney for Applicant  
Registration No. 37,246  
Customer No. 022913  
Telephone: (801) 533-9800

DLT:dfw  
W:\15568\15\DFW000011852V001.DOC

Applicant: John Derek Guest  
 Serial No.: 10/660,099  
 Filing Date: September 11, 2003  
 For: IMPROVEMENTS IN OR RELATING TO TUBE COUPLINGS



Confirmation No.: 6876  
 Att'y Docket No.: 15568.15  
 Group: 3679

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE  
CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Issue <u>Date</u>	<u>Name</u>
____ 1	2,475,741	07/12/1949	Goeller
____ 2	2,728,895	12/27/1955	Quackenbush et al.
____ 3	3,107,108	10/15/1963	Greene
____ 4	3,180,664	04/27/1965	Franck
____ 5	3,233,924	02/08/1966	Stanley et al.
____ 6	3,380,765	04/30/1968	Himmel
____ 7	5,056,829	10/15/1991	Kramer

Foreign Patent Documents

Examiner <u>Initial*</u>	Document <u>Number</u>	Publication <u>Date</u>	Country or <u>Patent Office</u>	<u>Translation</u>
____ 8	WO 95/28593	10/26/1995	PCT	N/A

Other Documents  
 (including author, title, pertinent pages, etc.)

Examiner  
Initial\*

Date Considered:

Applicant: John Derek Guest  
Serial No.: 10/660,099  
Filing Date: September 11, 2003  
For: IMPROVEMENTS IN OR RELATING TO TUBE COUPLINGS

Confirmation No.: 6876  
Att'y Docket No.: 15568.15  
Group: 3679

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

W:\15568\15\DFW0000011853V001.doc

---

Examiner:

Date Considered:

---

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.